

022704



17157 U.S. PTO

**CUSTOMER NUMBER 25268****UTILITY PATENT APPLICATION AND FEE TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

**INCOMPLETE**22141 U.S. PTO  
10/790634  
022704

Attorney Docket No. UNIV0216

Date: February 27, 2004

Inventor(s): **Minoru Taya, Taishi Wada, Masahiro Kusaka, and Hsiuhung Chen**Title **DESIGN OF FERROMAGNETIC SHAPE MEMORY ALLOY COMPOSITES AND ACTUATORS INCORPORATING SUCH MATERIALS**FULL NAME OF 1<sup>ST</sup> NAMED INVENTOR: **Minoru Taya** COUNTRY OF CITIZENSHIP: **US**  
RESIDENCE ADDRESS OF INVENTOR: **6825 93<sup>rd</sup> Avenue SE, Mercer Island, WA 98040**FULL NAME OF 2<sup>ND</sup> NAMED INVENTOR: **Taishi Wada** COUNTRY OF CITIZENSHIP: \_\_\_\_\_  
RESIDENCE ADDRESS OF INVENTOR: **SEATTLE, WA**FULL NAME OF 3<sup>RD</sup> NAMED INVENTOR: **Masahiro Kusaka** COUNTRY OF CITIZENSHIP: \_\_\_\_\_  
RESIDENCE ADDRESS OF INVENTOR: **SEATTLE, WA**FULL NAME OF 4<sup>TH</sup> NAMED INVENTOR: **Hsiuhung Chen** COUNTRY OF CITIZENSHIP: **Taiwan**  
RESIDENCE ADDRESS OF INVENTOR: **9818 5<sup>th</sup> Avenue NE #2B, Seattle, WA 98115****APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Commissioner for Patents  
Mail Stop Patent Application  
Alexandria, VA 22313-1450

1. ☒ Fee Transmittal (BELOW) (NO FEE ENCLOSED)
2. ☒ Applicant(s) claims small entity status. See 37 C.F.R. § 1.27.
3. ☒ Specification [Total Pages 56]
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 28] **{FORMAL}**
5. ☐ Oath or Declaration [Total Pages     ]
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 17 completed) **[Note Item 5 below]**
    - ii. DELETION OF INVENTOR(S)  
☐ Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 C.F.R. § 1.76
7. ☐ CD-ROM or CD-R in duplicated, large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF) b. ☐ Specification Sequence Listing on: ☐ i. CD-ROM or CD-R (2 copies); or ☐ ii. Paper c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) \_\_\_\_\_ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 \_\_\_\_\_ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be itemized specifically)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ **NONPUBLICATION REQUEST: Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.**
17. ☐ Other: \_\_\_\_\_

18. **If a CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) \_\_\_\_\_ of prior application No: \_\_\_\_\_ / \_\_\_\_\_

Prior application information: Examiner: \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_

This application is based on two prior copending provisional applications, Serial No. 60/450,632, filed on February 27, 2003, and Serial No. 60/450,633, filed on February 27, 2003, the benefits of the filing dates of which are hereby claimed under 35 U.S.C. § 119(e).

For CONTINUATION OR DIVISIONAL APPLICATIONS only: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein. This incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

Correspondence address below:

Michael C. King  
LAW OFFICES OF RONALD M. ANDERSON  
600 - 108th Avenue N.E., Suite 507  
Bellevue, WA 98004  
Telephone: 425-688-8816 Facsimile: 425-646-6314

